



9-10-02

3626

Please type a plus sign (+) inside this box → ☐ +

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/029,042	
	Filing Date	12/19/2001	
	First Named Inventor	Neeman Malek	
	Group Art Unit	3626	
	Examiner Name		
Total Number of Pages in This Submission	74	Attorney Docket Number	UBI071

RECEIVED  
SEP 2 2002  
GROUP 3600

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): detailed return post card <input checked="" type="checkbox"/> Four patents listed on the S.R.
Remarks Art cited in a corresponding foreign application search report		

#3  
IDS  
AW  
9/13/02

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Robert A. Seemann 89 Earl Ave., Hamden, CT Reg. No. 29,857 Tel (203) 288-2122 06514
Signature	
Date	Sept. 9, 2002

EXPRESS MAIL Label # CERTIFICATE OF MAILING EU411512390 US			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 9/9/02			
Typed or printed name	Robert A. Seemann		
Signature		Date	9/9/02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Neeman Malek

Group Art Unit: 3626

Serial No.: 10/029,042

Confirmation No.: 3644

Filed: 12/19/ 2001

For: WINDOW BALANCE

Attorney Docket No.: UBI071

Commissioner for Patents

Washington, D.C. 20231

#3  
RECEIVED  
SEP 12 2002  
GROUP 3600

Information Disclosure Statement Under 37 CFR §1.97(b)(3)

Or, If An Office Action Has Been Mailed, Under §1.97(e)(1)

Dear Sir:

Listed on attached form PTO/SB/08A are patents that were cited in a search report of a counterpart foreign (UK SN 0205262.9) patent application.

This IDS is being filed, so far as applicant knows, before mailing of an office action on the above-identified U.S. Patent application, SN 10/029,042.

After making reasonable inquiry, I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counter part foreign application not more than 3 months prior to the filing of this statement.

U.S. Patent No. 5,152,032, patented 10/06/1992 by Davis et al. was also cited in the UK search report but is not listed on attached form PTO/SB/08A because it was cited by the Applicant in

the IDS filed with U.S. SN 10/029,042.

The patents listed on form PTO/SB/08A are:

<u>Patent No.</u>	<u>Pub. Date.</u>	<u>Name of Patentee or applicant</u>
U.S. 2,598,560A	05/27/1952	B.F. Kenyon (Mechano Gear Shift Company)
GB 2262123A	06/09/1993	Kabushiki Kaisha Nakanishi Engineering
JP 2001055861A	02/27/2001	Meiko KK

A copy of the search report in application No. GB 0205262.9, is enclosed to show the date of search, July 22, 2002.

Copies of all four patents listed in the UK search report are enclosed.

No translation of the Japanese patent was supplied or is on hand.

The submission of this IDS is not to be construed as an admission by the applicant that the information cited in this statement is or is considered to be material to patentability.

No fee is seen needed for this IDS. The Commissioner is hereby authorized to charge any fee with may be required to deposit account No. 19-1109.

Respectfully submitted,

 *September 9, 2002*  
Robert A. Seemann      Date

Reg. No. 29,857

RECEIVED  
SEP 12 2002  
GROUP 3600

89 Earl Avenue, Hamden, CT 06514

Tel (203) 288-2122    Fax (203) 281-7313